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CENTRAL FAX CENTER

DEC 29 2006

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application No.: 10/707,230  
Filing Date: November 28, 2003  
Inventor (first named): Katz, et al.  
Group Art Unit: 1754 1761 24  
Examiner Name: Pratt, Helen F.  
Attorney Docket No.: 45496.20

BEST AVAILABLE COPY

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AFFIDAVIT UNDER 37 CFR Sec. 1.131

Province of Alberta  
CANADA

We, SAUL KATZ and VALERIE TESKEY (a.k.a. VALERIE PRICE), being duly sworn, depose and say as follows:

1. We are the inventors for the above-identified patent application.
2. All of the inventive activity declared in this Affidavit took place in Canada.
3. We conceived of the invention claimed in the above-identified patent application prior to June, 2002, the publication date of the Painter et al. publication, and prior to March 14, 2000, the filing date of US Patent Application No. 10/817,502 (the "Wolf Reference"), which is also the filing date of US Patent Application No. 09/524,445 (the "Gilles Reference").
4. By no later than 8 March 2000, we had conceived of and reduced to practice the invention comprising a nutritional bar formulation, claimed in the claims of the present application.

DMS\Legal\045496\00020\2202817v2  
US - s1.131 Affidavit (October 2006)  
10/12/06

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5. Attached as Exhibit "A" hereto is a copy of a letter addressed to Saul Katz from Dr. Wolever of the Glycaemic Index Testing Inc. (GIT), dated 17 February 2000, confirming that we had spoken to Dr. Wolever about testing the glycemic index of the nutritional bar formulations we had developed, which are the subject of the present application.
6. Attached as Exhibit "B" hereto is a copy of a letter addressed to Val Price from Dr. Thomas Wolever of the Glycaemic Index Testing Inc. (GIT), dated 30 March, 2000, which follows up the conversation we had confirmed in Exhibit "A". We had by this time provided at least two of our formulations (which were in existence prior to 17 February 2000) to Dr. Wolever in confidence. The letter confirms that we had discussions with GIT by that date regarding testing of bars we had developed which we believed to be high carbohydrate, low glycemic index bars in accordance with our claimed invention. We worked with Dr. Wolever with different formulations and variations of such bars and continued development of the product from that time forward. One embodiment, which we had referred to as a Lemon Bar, finished testing in May, 2001. The final report for GI test for the Lemon Bar was delivered to us by letter dated 11 May, 2001. A copy of this letter is attached hereto as Exhibit "C". Those test results accompanying Exhibit "C" are referred to in our patent specification.
7. The general formulation of the Lemon Bar was conceived of prior to 17 February 2000. We believed that a nutritional food bar with a high carbohydrate fraction, greater than 50%, would be useful as carbohydrates are the body's preferred energy source, but also that a low glycemic index would be beneficial. Conventional thinking at this time tried to achieve low-GI by formulating low-carbohydrate products.

Attached hereto as Exhibit "D" are pages 1-47 excerpted from a lab notebook maintained by Jing Lu, who was a technician working under our supervision and guidance, which recorded our progress contemporaneously. Ms. Lu signed and dated the pages, and Valerie Price also signed and dated the pages. As may be seen, reduction to practice of

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the bar formulation we had earlier conceived of took shape in February and March, 2000.  
In particular:

- (a) we conceived of a low GI, high carbohydrate product incorporating soluble fiber, unsaturated fats, and a moderate amount of protein (page 3 of the notebook);
- (b) on February 18, 2000, a bar containing a fructose-based binder, fruit pieces and inulin fiber, having 28.97g of carbohydrates ("carbo") in a 50 g bar was tested. One subject ("Huy") showed a GI of 41 (page 21 of the notebook);
- (c) on page 22, a modification was made to one formulation to increase plum puree, was found to "mix OK" (February 22);
- (d) many different ingredients were tested during this time, many of which are found in the formulation described in embodiments of the present patent application;
- (e) on March 1, a combination having 28.04 g carbo / 50 g bar, resulting in a GI average between 2 subjects of 51 was achieved;
- (f) on March 2, calcium caseinate, glycerine and inulin IQ were added;
- (g) on March 7, the ingredient "energy smart" which was a fructose-based mid-GI binder and sweetener was replaced with fructose; and
- (h) on March 8, we were able to achieve low GI values averaging in the mid-20's with a 50g bar having 28.61 g of carbohydrates (page 41 of the notebook)

[continued next page]

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8. On March 31, 2000, we responded to Dr. Wolever's letter of March 30, 2000, enclosing details of the ingredients of three test bars. A copy of our March 31 letter and attachments is attached hereto as Exhibit "E". As may be seen from the Exhibits, the formulation referred to in this letter corresponds to the invention broadly disclosed and claimed in the above-identified patent application, and was conceived of no later than February 18, 2000, and reduced to practice by no later than March 8, 2000.

SWORN BEFORE ME at the City of )  
Edmonton, in the Province of Alberta, this )  
13<sup>th</sup> day of *October*, 2006. )

*AR Middle*  
A Notary Public in and for the  
Province of Alberta

SAUL KATZ

SWORN BEFORE ME at the City of )  
Edmonton, in the Province of Alberta, this )  
13<sup>th</sup> day of October, 2006. )

A Notary Public in and for the  
Province of Alberta

VALERIE TESKEY  
(a.k.a. VALERIE PRICE)

Received: 11/24/2005 04:31PM \* Pg 2/4  
Nov 24 2005 5:25PM NEW#ERA#NUTRITION 780 423 1786  
18/02 '00 00:00 FAX 416 769 7210 GI TESTING INC

P. 2  
001



Glycaemic Index Testing Inc.  
135 Mavety St., Toronto,  
Ontario, Canada M6P 2L8  
Tel: (416) 978-5556  
FAX: (416) 769-7210

17 February, 2000

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DEC 29 2006

Saul Katz,  
President,  
New Era Nutrition

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FAX: 780-423-1786

Dear Mr. Katz,

I enjoyed the conference with Jain and Val today. I found the project interesting and I am looking forward to the opportunity of working with you. As you requested, the estimated cost of determining the GI of foods using our standard protocol in 10 subjects is as follows (Canadian funds):

- 1 food: \$5,400
- 2 foods: \$8,000
- 3 foods: \$10,400
- 4 foods: \$12,600
- 5 foods: \$14,400

add \$1800 for every additional food up to a total of 12.

For more than 12 foods, the cost would be about \$2100 per food. This does not include the cost of additional control tests which may be suggested or required, nor the cost of shipping foods or food analysis. Any changes to the protocol may require ethics review which would be charged to the client. Advanced payment of at least \$5000 is required before any tests start, and the balance is due either upon completion or according to a mutually agreed upon schedule based on progress and the provision of preliminary results (final results require 3 bread tests which may not be completed until the end of the series). I am happy to include an option to terminate further provisionally planned tests if the results are not as expected.

Tests are normally done on a first-come-first-served basis, and it is difficult to predict how long it will take to complete a series far in advance. Over the next 3-4 months I expect to be working at close to our current maximum capacity. As I mentioned on the phone, a long-term contract would facilitate our ability to expand our testing capacity so as to facilitate timely completion of tests you require.

Yours sincerely,

*Tom Wolever*  
Thomas MS Wolever, MD, PhD,  
President

THIS IS EXHIBIT \* A \* referred to in the  
Affidavit / Declaration of

*Saul Katz / Valerie Teskey*

Sworn / Declared before me this 13<sup>th</sup> day

of October A.D., 20 06

*Andrew Biddle*

A Commissioner for Oaths in and  
for the Province of Alberta.  
A Notary Public.

Received: 11/24/2005 04:31PM \* Pg 3/4  
Nov 24 2005 5:25PM NEW#ERA#NUTRITION  
03/31/00 00:50 FAX

780 423 1786

p.3

01



Glycaemic Index Testing Inc.  
135 Mavety St., Toronto,  
Ontario, Canada M6P 2L8  
Tel: (416) 978-5556  
FAX: (416) 769-7210

30 March, 2000

Val Price,  
New Era Nutrition,  
New Era Place,  
10519 - 99 Avenue,  
Edmonton, Alberta T5K 0E7

4 pages

FAX: 780-423-1786

Dear Val,

Thanks for the information. You said you have 3 bars to test, but I only got data for Test Bars 1 and 3. I enclose our terms of research and standard protocol. For the initial "mini-test", I propose to follow our normal protocol for determining the GI, except we will only do a single test of the standard food instead of 3. Thus, I propose to compare the 3 bars to a single test of 50g carbohydrate from bread in 6 subjects. Taking the ratio of the average glycaemic response, areas should be within 15-20 of the true GI. If the results are not as expected, then you may reformulate and we can test again. When you have what you consider to be the final product, then I propose to do a proper test of each bar with 10 subjects and 3 repeats of the standard. We prefer to use bread as the standard, and adjust the results to the glucose standard - we periodically test 50g glucose and can confirm the conversion factor. If glucose has a GI of 100 then bread has a GI of 71. You want to get a GI of 40-50; is this based on glucose or bread as the standard?

The cost for each "mini-test" (as described above) is \$4,300 and for GI determination (standard protocol) is \$10,400. Thus, the minimum testing comes to a total of \$14,700. I normally ask for 33% advanced payment, but since the "mini-test" is less than that, I suggest \$3,000 as the advanced payment. Receipt of the advanced payment indicates your acceptance of the Terms of Research. With human subjects, I cannot guarantee a timeline, but I would expect to be able to complete the mini-test within 4 weeks of starting. If we get the bars and advanced payment within 2-3 weeks, then I think we will be able to start quickly.

I have a question about the composition. Is glycerine included in the total carbohydrate? Can you give me the total carbohydrate to 1 or 2 significant figures (like the fiber and glycerine) so I can calculate a bit more accurately? I do not want to include glycerine in the 50g available carbohydrate portion (though it probably does raise blood glucose). It looks like we will need 20 of each bar for the initial tests. I will confirm this when I have the full information. Can you send the info for Test Bar 2 (FAX to above number for confidential receipt)? What are solnuts?

We are currently developing terms for agreements to allow our clients to use the results with or without reference to GI Testing. We may ask you your views on these.

Yours sincerely,

*Thomas MS Wolaver*  
Thomas MS Wolaver, MD, PhD,  
President

THIS IS EXHIBIT "B" referred to in the  
Affidavit / Declaration of

*Savi Katz / Valerie Testey*

Sworn / Declared before me this 13<sup>th</sup> day

of October A.D., 2000

*Andrew Buddle*

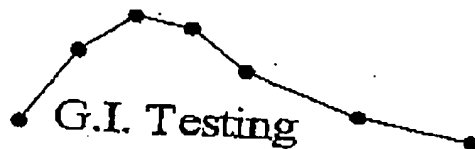
A Commissioner for Oaths in and  
for the Province of Alberta.  
A Notary Public.

Nov 24 2005 5:25PM

Received: 11/24/2005 04:31PM \* Pg 4/4  
NEW#ERA#NUTRITION

780 423 1786

P. 4



11 May, 2001

Jing Lu,  
New Era Nutrition,  
New Era Place,  
10519 - 99th Ave.,  
Edmonton, Alberta T5K 0B7

Dear Jing,

Here is the final report for the GI test of the Lemon Bar. Please let me know if you would like to have an electronic copy of the Lotus 123 spreadsheet containing in the data. If you have any questions or comments about the report, please don't hesitate to contact me.

Yours sincerely,

A handwritten signature, likely of Thomas MS Wolever, written in cursive.

Thomas MS Wolever, MD, PhD,  
President.

THIS IS EXHIBIT "C" referred to in the  
Affidavit/Declaration of

Saul Katz/Valerie Teskey

Sworn/Declared before me this 13<sup>th</sup> day

of October A.D. 20 06

Andrew Baddle

A Commissioner for Oaths in and  
for the Province of Alberta.  
A Notary Public.

Handwritten initials 'SK' and 'WJ' in the bottom right corner of the page.

(1)

Objectives

- To develop a functional/nutritional food bar with low glycemic index specifically for
- 1) people with diabetes, obesity and cardiovascular disease
  - 2) people with abnormal glucose tolerance
  - 3) anyone who would benefit from additional dietary fiber, especially soluble fiber

Bar Specification

- The Bar will be designed as a good tasting, crunch 100% natural healthy snack or meal replacement with
- 1) insulin as a source of soluble fiber
  - 2) antioxidants that have known beneficial effects
  - 3) unrefined fats which are preferable for diabetes and cardiovascular disease
  - 4) balanced nutrition

*[Handwritten signature]*

THIS IS EXHIBIT "D" referred to in the Affidavit / Declaration of

Saul Katz / Valerie Torkey

Sworn / Declared before me this 13<sup>th</sup> day

of October A.D., 20 06

Andrew Boddle

A Commissioner for Oaths in and for the Province of Alberta.  
A Notary Public.



(3)	
Nutrients spec	DM Nutrients Contented Low GI bar-1081
protein	10-20% 10-20%
total fat	<30% <20%
S.F.	<10% <5%
poly u.f.	<10% <10%
mono. u.f.	10-15% 10-15%
carbohydrate	50-70% 50-70%
dietary fiber	20-25g/d 20-25g/d
antioxidants	not include vitamin
Vitamin C	10-15% RDI
Vitamin E	
selenium	
B-carotene	

(3)

Feb. 2 / 2000

Objective: Fruit type low GI bar test

① Flavor test

② texture test, reduce the stickiness of the blend

Method detail: - G1002 Fruit 1, G1002 Fruit 2

① change mix procedure

pear juice concentrate + energy smart + inulin - hand mix

+ oil and other fluid - hand mix

+ all other dry ingredients - machine mix

② flavor

G1002 Fruit 1 Feb 2 Blackberry (AT1 6168-6853)

G1002 Fruit 2 Feb 2 Apple cherry

Apple - flavor producer (3/08/4)

cherry - International (4/4/09)

③ replace 10g of energy smart with 10 in fruit 2 Feb 2

④ inulin - HP

Conclusion

Day 1 stickiness: Fruit 2 > Fruit 1 Feb 2

Flavor: blackberry is better

mix procedure: better reduced stickiness

<p>Day 3. They had are dry, and dense. Fruit 2 is better than Fruit 1.</p>	<p>Day 6. taste - group. flavor not strong =&gt; need improve texture - ok.</p>	<p>Feb 18. none Lu Jang</p>					

(7)

Av. (Feb 4)

Fruit 1 Feb 2 0.556  
(G) 0202 Fruit 1)

Fruit 2 Feb 2 0.593  
(G) 0202 Fruit 2)

1618100  
pk

Feb 18 2000

(8)

(9)

Feb. 4 2000

Objectives: Fruit type low R.I. bar-test

1) Fluid adjustment, try to reduce to 6 degrees of the bar

2) Test fruit to flavor

Detailed methods: 51 02 04 Fruit 3

1) mix procedure same as before

2) Using Greenland dried cherry to obtain flavor blackberry 7396

3) replace 5g of energy sweet with polydextrose

4) include HD

Conclusion:

Day 1: not very sticky, but little dense and hard  
need to improve the softnessflavor ok  
cherry ok

Day 3: taste =&gt; good

flavor: smells better than taste => need stronger  
compare with fruit 1, fruit 3, better

AW	Feb 7	Lu Ing	Feb 15 2000
0.559	(22.2%)	11.1%	
Feb 8 - G3 test			
Control white bread			
Wosten Family white Bread (d17.8)			
Wosten Family Food			
Vancouver B.C. V6B 4E4			
Ingredients: white flour, water, yeast,			
sugar or glucose-fructose,			
vegetable oil, salt,			
emulsifier (mono-diglycerides),			
Calcium propionate, sodium			
stearoyl-2-lactylate			
may contain potassium sorbate.			
Nutrition information (per serving) 30g			
Energy 74 cal/310 kJ			
protein 2.6g 17%			
fat 2.9g 11%			
poly 0.1g			
mono 0.4g			
saturated 0.2g			
cholesterol <0.1mg			
carbohydrate 13.9g 71%			
dietary fiber 0.7g			

JAC

(131)

50 g available carbohydrate

$$13.9 - 0.7/30 \Rightarrow 40\%$$

$$50 \div 0.44 = 113.60 g$$

Subjects: Sand, Berman, Vad, Hay, James, Trise

Methods: Testing 10 h on 10 g

Testing bread is 10 min. ready to finish of finishing

Measuring blood glucose at 15, 30, 45, 60, 120 min

and fasting

Feb 9/00

G1 test

Control: white bread

Subject: Jing

Feb 15, 2000

Wing

Feb 15, 2000

Objective: Fruit type Low G.I. bar test

1) fluid adjustment: try to reduce the stickiness of the bar. base on the test run in Rocky)

2) flavor test: - strawberry

Detailed method

① G.I. 0.15 Fruit 4 test plum puree  
plum puree 20g reduce carbohydrate 15g

G.I. 0.15 Fruit 5 test maltitol 15g  
maltitol 15g

G.I. 0.15 Fruit 6 test glycine  
glycine 15g

② inulin oil type

③ flavor: VdL Strawberry (ATL 0426-1196)

④ herbalex type W - 0.2g / recipe  
recommended: 0.01g

Conclusions:

\* stickiness: Fruit 4 is the best one

Fruit 5 & 6 still sticky

\* herbalex: 0.2g / recipe  $\Rightarrow$  0.03g > best strong taste  
0.2g / recipe  $\Rightarrow$  0.01g

GL 0215 Fruit 4	AW	(Feb 16)	Feb 15 100 - GI test	(Jing Val. James, Hwy)	(15)
	0.570		Manometer - protein nutritional Supplement		
GL 0215 Fruit 5	0.184		GI 0214 Fruit 3 (R)		
GL 0215 Fruit 6	0.134		Nutrition Info per 50 g serving		
			Manometer Energy 133 cal		
			protein 13 g		
			fat 5.6 g		
			poly 1.9 g		
			mono 2.7 g		
			Starch 0.3 g		
			cholesterol 0.1 g		
			Carbohydrate 23 g		
			Sugar 11 g		
			dietary fiber 3.3 g		
			Sodium 82 mg		
			Potassium 274 mg		
			$23 - 3.3 / 50 = 39.4\%$		
			$50 \div 39.4\% = 126.9\%$		
			Nutrition Info per 50 g serving		
			Energy 174.17 cal		
			Carbo 28.97 g		
			dietary fiber 6.66 g (including insoluble)		
			Insol fiber 3.77 g		
			Carbo content $28.97 - (6.66 + 3.77) = 26.08$		
			$50 \div (26.08 / 50) = 95.86 (8)$		

16)

① Insulin - available. Canto?

② stay in office for the testing, no lab work

③ 15 min testing before testing

④ blood glucose in 2 hour period in low than fasting? meaning high insulin?  
hypoglycemia?  
low GJ - fast back to baseline

in try  
Feb 18, 2007

*[Signature]*

(17)

Feb 16/07

Objective fruit type G1 has test

① fluid adjustment, below to dryness and stickiness

② flavor test - lemon  
③ test insulin 58/108 bar

Detailed methods:

① G1 0216 fruit 7, X based on fruit 4, reduce 10%

plum puree  
\* beetroot 0.03%  
\* insulin 10%

Aw: 0.583

results: stickiness - better

hardness - need to improve

lemon flavor - good, try 38/100d => 0.006

beetroot flavor - better

② G1 0216 fruit 8:

fluid combination, energy sweet + plum puree + polydextrose  
flavor 38/100d => 0.006

results: stickiness - sticky

lemon flavor 0.6% is ok

Aw: 0.195

③ G1 0216 fruit 9:

fluid combination: energy sweet + plum puree + glycerine

results: sticky - stick to the package

no difference compare with fruit 8

Aw: 0.1745



118

Q 610216 fruit 10  
fluid plum puree + energy smart

no fruit idg)

results:

stickiness ok

similar as 610216 fruit 7

AW: 0.169

conclusions:

\* Both polydextrose & glycine make to bar more wet sticky

\* Hebeol: 0.03%

\* lemon flavor (Intensified) 0.6%

\* 10% inulin: ok

Further test: Balance energy smart & plum puree

\* achieve to proper texture

Q first calcium carbonate (replace part of skin

milk powder)

Q dairy enhancer

Q setting - for cooler mouth feel

4/26/10

Feb 18 2008

W J Y

(21)

Feb 18/00 - G1 test - G1 0204 Fruit 3(R)

Manna bar 126.98 (check sparcia - unavailability)

G1 0204 Fruit 3(R)

per 50g: 28.97 Carbo

6.66 fiber (including insulin)

1.55 polydextrose 70%

$28.97 - 6.66 - 1.55 \times 70 = 20.225$  g Carbo available

$50 \div (20.225/50) = 123.6g$

Feb 18/00

Subjects: James Val

Trice Hug Sand

Feb 18/00

Lu-Try

G1 results: G1 0204 Fruit 3(R)

James: 76

Sand: 76

Hug: 41

Val: white bread - left blood glucose peak

Trice: white bread - day to day variations

Feb 18/00

Lu-Try

Feb 18/00

Lu-Try

(23)

Feb 23/00 - GI test

Peanut (Mendocino) honey - MPH 02/15/3  
per 50 g bar total carbs 22.95g  
dietary fiber 2.83g  
glycerine 2.585g

Energy: 199.47 cal  
fat 29.2%  
carbs 45%  
protein 26%

$22.95 - 2.83 = 20.12$   
 $50 \div (20.12/100) = 142.7 (g)$

Subjects: Hug James Sand, Jing (Feb 24)

GI results: James 7.4  
Hug 24  
Sand 61  
Jing 53

Feb 23/00

in try.  
Feb 23/00

Feb 22/00

Objective fruit type Q1 bar test

- ① fluid adjustment
- ② fat amount: 20-25%
- ③ coating vs. non-coating (off coat coatings)

Detailed Methods

GI 0122 fruit 11 increase plum puree / energy sweet without coating

GI 0122 fruit 12 no dry fruit, with coating plum puree / energy sweet

GI 0122 fruit 13 with coating: ↑ plum puree / energy sweet

GI 0122 fruit 14 without coating, ↑ plum puree / 5.5 no dry fruit

Results:

fruit 11: mix ok not stick to 76 mixer

fruit 12/14: dry & hard

fruit 13: bit stick to 76 mixer

fruit 14: no sample

need further formulation

Further work with fruit pieces with/without coating based on fruit 11 & 13

Feb 23/00

(25)

Feb 23/00

Directions: 1) Increase fat level to 2.5% and reduce the thickness of the bar

2) test different mix procedure  
inulin + energy sweet + pear juice concentrate  
inulin + dry ingredients

Detailed methods:

G10223 fruit 15

A. inulin + dry ingredients

B. inulin + energy sweet + pear juice con'

Results:

A. soft and not sticky

B. soft but still a bit sticky

Av. 0.164

Av. 0.198

taste: Feb 24/00 - Vol. Hug. Fine. Jing

flavor: need boost

texture: improved

G10223 fruit 15 A: test run in Rinky

Mar 1/00 taste

15 A BB: no big difference

Feb 24/00

in Jing

	white bread	G10204 fruit 3 R	GI	MPH 0215 S	GI
Jarnes	275.50	210.00	0.78	204.30	0.74
Saul	200.50	153.00	0.76	122.30	0.61
Huy	270.30	111.00	0.41	63.60	0.24
Jing	243.00		0.65	129.00	0.53
					0.53

127)

Feb. 23/20

Objectives: powder : shelf life and texture test.

Detailed : methods

fluid mix.: Energy spent 280 g

Olive oil 288.

fluid

Max India ExL 258 408

Agave - under HD

Mix media IQ

Mix skim milk powder

Mix. Ecw Calcium caseinate

199 Mrs. Xiny

.....: 271m50g

All insulin mixes are softer than protein mixers.

stickier

Sub 28.

London 2X1: hard & dry

10 Δ WD: soft stick.

Skin-milk powder, ... 100 g

*Calcium ascorbate* - soft.

Sy 661 ..... in between.

Feb 09: inactiv. ExL: soft, powdery. LA: soft, sticky.

Feb. 24/00

Objectives - formulate writing her with 25% fat

Detailed material G7 0224 fruit 13 A &amp; B

46.5% shade / output waiting or character waiting.

decrease of oil &amp; Almond butter.

increase... pump pressure / energy spacant...

Reservoirs

Mix: not stick, ok

coating - yogurt coating is sweeter than chocolate coating.

67024 fruit 13A - Rocky test.

Feb 23

Feb. 15/20

;

09,

Feb 25/00

GI test - white bread  
Follow Dr. Wolever's protocol

Western Family white bread  
Sample: 11.2.00 g

Subjects: Hug, James

AUG Hug 256.8  
James 257.8

Feb 28/00

GI test - white bread  
Subjects: Hug, James

11.2.00 g

Western Family white bread

(71)

Feb 28/00

Objective: Test calcium carbonate - softness

Detailed method

GL 200 fruit 16 replace do stem with patch  
with calcium carbonate

Results:

mix: not stick  
softer than before

New ingredient try: wheel from oil

fructose liquid

stuck cherry

phs  
march 6/00

July 12  
march 6/00

(33)

Feb 29/00

Objectives:

- 1) fruit bar test under HD & EXL - softness & stickiness.  
decrease sy protein → softness, test vegetable oil
- 2) chocolate bar base test

Detailed methods:

- 1) E10029 fruit 17 under HD  
E10029 fruit 18 under EXL  
increase cottonseed oil, decrease sy protein  
replace olive oil with canola oil  
Apr 17, 01/06  
18, 01/06

2) E10029 chocolate 1

based on E10029 fruit 18 formula  
Using Alkafred wax powder FH 730  
Carna chocolate flavor  
Cocoa butter, Brocken etc  
Replace part of plum piece with offearine

Results:

fruit 17 is mixing ok soft, not sticky  
no difference between fruit 17 & 18

chocolate 1 color too dark

texture: baby flakes piece too big  
wet and little bit sticky



(35)

Mar. 1, 1000

fruit 17 in less dry than fruit 15.

Mar. 1, 1200

GI test - GI 0.29 fruit 15.

per 10 g bar Carbo: 28.04 g

digestion fiber (including insol): 8.63

SD =  $\frac{(28.04 - 8.63) / 50}{50} = 1.28 \text{ so } 8$

Carbo 56% Std 15% protein 15%

July 6u

James: 3L (8.2)

Mar. 2, 1000

GI test - Glucose

Subject: Jig. Hing. James.

plf

Mar 6/00

Jig. H.

Mar 6/00

(37)

Mar 2 / 2000

Objective: Replace part of energy sweet w/1 of glycine  
 → 56.1 purpose  
 bar texture - reduce dryness

Detailed methods:

Q1 0302 fruit 19: no coating

Q1 0302 fruit 20: (based on fruit 13 recipe)  
 replace skin milk powder w/1 of glycine  
leavening

In food formulation: using in fruit 19

Results:

Mixing: Good not sticky, soft.

Tests: Mar 3 / Vol. T. 1g True Hug

Texture → ok

Flavor

Justina Mar 6/00  
 results

(39)

Mar. 7/00

Objectives: Using tractor - try to low 62

Detailed Methods:

620307 fruit 21. replace w/ energy smart  
with tractor

Results: Mix: OK not stick to 26 mixer  
but broke bit off not stick to  
package

tests: can test 26 tractor

Am 0537

Further work: reduce the wheels  
62 test

tests: Mar 8/00

and day compare to yesterday's

off 010

in 10

New 20/00

(41)

Mar 8 / a

GI test 61.0107 final 21

per 50 bar 28.61 181

fiber 8.31 181

average 1.62 (8)

$$50 \div [(28.61 - 8.31 - 1.62) / 50]$$

$$= 137.818$$

Subject: Jing-Hy Jones

Results: 61

Jing 28 (WB) 21 (G)  $\Rightarrow$  29 (WB)

Hy 21 (WB)

Jones 24 (WB) 17 (G)  $\Rightarrow$  24 (WB)

Average 25 (WB)

9/10/06

Jing-Hy

Mon 10/06

<p>Mar. 8/00</p> <p>Objectives: ① to achieve 10% wgt. water</p> <p>② test mixing procedure - effect on bark texture</p> <p>③ test different type of inulin - effect on bark texture</p> <p>④ reduce energy spent - improve the wetness of bark mixing</p> <p>⑤ sample for shelf life testing</p>			
<p>Detailed Methods: - GI 0308 fruit 22</p> <p>1) replace a g. energy spent with plum-puree</p> <p>2) decrease inulin to 10% wgt.</p> <p>add 2g of barky flakes, 2g of wetty slat.</p>			
<p>1) A: mixing 10% wgt. syrup</p> <p>B: dry ingredients</p> <p>C: H<sub>2</sub>O</p> <p>D: EXL</p>			
<p>Results:</p> <p>A &amp; D mix better than B &amp; C</p> <p>B &amp; C: 10% wgt. barky flakes &amp; 10% wgt. wetty slat</p> <p>check every week</p>			
<p>lasted Mar. 9/00</p> <p>B &amp; C: softer than A &amp; D</p> <p>D: powder than others</p>			

	GI 0229 fruit 18	GI (WB)	GI (G)
James	98.8	0.39	0.28
Jing	141	0.64	0.48
		0.51	0.38

	GI 0307 fruit 21	GI (WB)	GI (G)
James	81	0.24	0.17
Huy	55	0.21	0.21
Jing	61.3	0.28	0.21
		0.24	

(45)

Mar. 9/00

Objective - G.I. 0309 bar 23/24

Waiting bar test

- ① test fracture - for G.I. & fracture purpose
- ② change ingredients - reduce the dryness of the bar

Detailed methods

- ① add fracture, reduce energy smart
- ② add ethanol butter
- ③ decrease caproen - 15% ROJ/bar
- ④ delete sugar suggest

mix procedure: add insulin + dry ingredients

Results

fract 23 mix: good  
taste: fracture looks too sweet

fract 24 mix: OK - more wet than fract 23

wild or without chocolate coating - for short life testing

Tyler  
J. Jones  
10/10/00

	<p>(47)</p> <p>Mar 10/00</p> <p>210310 fruit 24 - for Q1 test</p>	<p>per 20g bar</p> <p>lecho 29.00g</p> <p>fiber 7.08</p> <p>figure 227</p>	<p>29.00 - 7.08 - 2.27 = 19.65</p> <p>50 ÷ (19.65/20) = 129.60g</p> <p>129.60g - 2.27g = 127.33g</p> <p>available cartons</p>	<p>129.60g ÷ 108.19g bar</p> <p>21.41 cartons (16.17g)</p>	<p>Mar 13/00 Hwy: B1 (WB) 543</p>	<p>Mar 14/00 Hwy: 25</p> <p>Jan 21</p> <p>41</p>
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March 31, 2000

Dr. Tom Wolever  
Glycaemic Index Testing Inc.  
135 Maverty St., Toronto  
Ontario, Canada M6P 2L8

Fax: (416) 769-7210

Dear Dr. Wolever,

Thank you for your prompt reply.

My apologies for the missing page.

We agree with your idea of only one bread standard for the "mini-test". Thank you for the suggestion.

Following are the answers to your questions.

- We want to achieve a GI of 40-50 using the white bread standard.
- Solnuts is a brand name for toasted soy pieces.
- The glycerine is counted in total carbohydrate. Attached is the bar information with the appropriate detail included.

Either Saul or James will be in touch regarding the advance payment and Terms of Research next week. We will have bars to you by April 25.

Best Regards,

Val Price.

THIS IS EXHIBIT *E* referred to in the  
Affidavit / Declaration of

*Saul Katz / Valerie Teskey*

Sworn / Declared before me this *13<sup>th</sup>* day  
of *October* A.D. 20 *06*

*Andrew Buddle*

A Commissioner for Oaths in and  
for the Province of Alberta  
A Notary Public.

New Era Place, 10519 - 99 Avenue, Edmonton, Alberta, Canada T5K 0E7  
Tel: (780) 423-4551 Fax: (780) 423-1786 E-mail: newera@nutritech.com Web: www.nutritech.com



## Glycemic Index Test Bar 3

03/31/00

Nutrition Facts	
Serving Size (50g)	
Servings Per Container	
Amount Per Serving	
Calories 190	Calories from Fat 60
% Daily Value*	
Total Fat 6g	10%
Saturated Fat 1g	4%
Cholesterol 0mg	0%
Sodium 30mg	1%
Total Carbohydrate 28g	9%
Dietary Fiber 8g	33%
Sugars 8g	
Protein 9g	
Vitamin A 2%	Vitamin C 2%
Calcium 6%	Iron 8%
*Percent Daily Values are based on a diet of other people's secrets.	
Calories: 2,000 2,500	
Total Fat	Less than 65g 80g
Saturated Fat	Less than 20g 25g
Cholesterol	Less than 300mg 300mg
Sodium	Less than 2,400mg 2,400mg
Total Carbohydrate	300g 375g
Dietary Fiber	25g 30g
Calories per gram:	
Fat 9 • Carbohydrate 4 • Protein 4	

INGREDIENTS: Solnuts, Toasted Barley Flakes, Plum Puree, Almond Butter, Inulin, Fructose, Pear Juice Concentrate, Glycerine, Dried Cherries, Calcium Caseinate, Agave Nectar, Energy Smart, Supro Plus Nuggets, Soy Oil, Fenugreek, Natural Flavors, Lecithin.

Total Carbohydrate 28.42g/50g bar (includes glycerine)  
 Fiber 8.33g/50g bar  
 Glycerine 3.35g/50g bar

Please note that Nutritional Information is based on a computer model and will vary from actual laboratory analysis. This information is confidential and proprietary and may not be given to third parties without express permission from New Era Nutrition Inc.

FROM MPH 03224

# Glycemic Index Test Bar 2

03/31/00

## Nutrition Facts

Serving Size (50g)	
Servings Per Container	
Amount Per Serving	
Calories 180	Calories from Fat 60
% Daily Value*	
Total Fat 7g	10%
Saturated Fat 2.5g	13%
Cholesterol 0mg	0%
Sodium 50mg	2%
Total Carbohydrate 29g	10%
Dietary Fiber 7g	28%
Sugars 11g	
Protein 9g	
Vitamin A 2%	Vitamin C 2%
Calcium 8%	Iron 6%
*Percent Daily Values are based on a 2,000 caloric diet. Your daily values may be higher or lower depending on your caloric needs:	
Calories: 2,000 2,600	
Total Fat	Less than 65g 80g
Saturated Fat	Less than 20g 25g
Cholesterol	Less than 300mg 300mg
Sodium	Less than 2,400mg 2,400mg
Total Carbohydrate	300g 375g
Dietary Fiber	25g 30g
Calories per gram:	
Fat 9 • Carbohydrate 4 • Protein 4	

INGREDIENTS: Chocolate Coating, Solnuts, Inulin, Plum Puree, Toasted Barley Flakes, Supro Plus Nuggets, Energy Smart, Fructose, Glycerine, Dried Cherries, Whey Protein Isolate, Pear Juice Concentrate, Soy Oil, Agave Nectar, Nutty Wheat and Barley, Almond Butter, Fenugreek, Natural Flavors, Lecithin.

Total Carbohydrate 29.35/50g bar (includes glycerine)  
Fiber 7.08g/50g bar  
Glycerine 3.12g/50g bar

Please note that Nutritional Information is based on a computer model and will vary from actual laboratory analysis. This information is confidential and proprietary and may not be given to third parties without express permission from New Era Nutrition Inc.

G1 03 28 42

# Glycemic Index Test Bar 1

03/31/00

## Nutrition Facts

Serving Size (50g)  
Servings Per Container

Amount Per Serving

Calories 200 Calories from Fat 60

% Daily Value\*

Total Fat 7g 10%

Saturated Fat 1g 5%

Cholesterol 5mg 1%

Sodium 75mg 3%

Total Carbohydrate 24g 8%

Dietary Fiber 3g 11%

Sugars 6g

Protein 14g

Vitamin A 0% • Vitamin C 2%

Calcium 15% • Iron 10%

\*Percent Daily Values are based on a 2,000

calorie diet. Your daily values may be higher or

lower depending on your calorie needs:

Calories: 2,000 2,500

Total Fat Less than 65g 80g

Saturated Fat Less than 30g 35g

Cholesterol Less than 300mg 300mg

Sodium Less than 2,400mg 2,400mg

Total Carbohydrate 300g 375g

Dietary Fiber 25g 30g

Calories per gram:

Fat 8 • Carbohydrate 4 • Protein 4

INGREDIENTS: Solnuts, Energy Smart (fruit and grain dextrins), Soy Protein Isolate, Natural Peanut Butter, Plum Puree, Glycerine, Honey, Honey Granola, Rice Syrup, Whey Protein Concentrate, Supro Nuggets, Roasted Peanuts, Rolled Oats, Pear Juice Concentrate, Agave Nectar, Peanut Flour, Milk Mineral Concentrate, Natural Peanut Butter Flavor, Ambrotose.

Total Carbohydrate 23.56g/50g bar (includes glycerine)  
Fiber 2.54g/50g bar  
Glycerine 2.88g/50g bar

Please note that Nutritional Information is based on a computer model and will vary from actual laboratory analysis. This information is confidential and proprietary and may not be given to third parties without express permission from New Era Nutrition Inc.

G1 03 28 41

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